


Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-736001	Application No. Unknown
	Applicant Ming Cai and Kerry J. Vahala		10/007,630
	Filing Date Herewith	Group Art Unit	10/007,630 11/09/01

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
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	AI						
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Knight, et al., "Optics Letters", Vol. 22 pp.1129-1131 (1997)
	AR	Cai et al., "Fiber-Optic Add-Drop Device Based on A Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., Vol. 11(6), pp. 686-687 (1999)
	AS	
	AT	

Examiner Signature 	Date Considered 3-7-03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Sheet 1 of 1

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		Filing Date November 9, 2001	
		Group Art Unit 2873	COPY OF PAPERS ORIGINALLY FILED

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date if Appropriate
<i>MM</i>	AA	6 275 627	Aug 14, 2001	Wu			
<i>MM</i>	AB	5 295 211	Mar 15, 1994	Weidman			
<i>MM</i>	AC	5 074 633	Dec 24, 1991	Cohen et al.			
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<i>MMR</i>	AN	Ming Cai <i>et al.</i> , "Fiber-Optic Add-Drop Device Based on a Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., vol. 11, No. 6, pp 686-687, June, 1999.
<i>MMR</i>	AO	M. Cai <i>et al.</i> , "Fiber-coupled microsphere laser", Optics Letters, Vol. 25, No. 19, pp 1430-1432, October 1, 2000.
<i>MMR</i>	AP	M. L. Gorodetsky <i>et al.</i> , "Ultimate Q of optical microsphere resonators", Optics Letters, Vol. 21, No. 7, pp 453-455, April 1, 1996.
<i>MMR</i>	AQ	J. C. Knight <i>et al.</i> , "Phase-matched excitation of whispering-gallery-mode resonances by a fiber taper", Optics Letters, vol. 22, pp. 1129-1131, 1997.
<i>MMR</i>	AR	V. Sandoghdar <i>et al.</i> , "Very low threshold whispering-gallery-mode microsphere laser", Physics Review A, Vol. 54, No. 3, pp R1777-R1780, September 1996.

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Substitute Disclosure Form (PTO-1449)

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